



May 26, 2009

At a continued hearing today on the U.S. Securities and Exchange Commission's request for entry of a Preliminary Injunction against Wealth Management LLC, ("WM"), James Putman and Simone Fevola, and "The Funds" (WML Gryphon Fund LLC; WML Watch Stone Partners, L.P.; WML Pantera Partners, L.P.; WML Palisade Partners, L.P.; WML L3, LLC; and WML Quetzal Partners, L.P.), the parties presented an agreed Motion to enter the following orders, all of which were entered by the United States District Court, Judge Griesbach: (i) Preliminary Injunction Order; (ii) First Modified Receiver Order; and (iii) Order Extending Asset Freeze. Copies of the orders are enclosed. The First Modified Receiver Order authorizes me to remain in place as the Receiver for WM and The Funds.

My first report to the Court is due to be filed on June 10, 2009 and the Court has scheduled a status hearing for June 15, 2009 at 2:00 p.m.

As Receiver, I will continue to:

- A. administer and manage the business affairs, funds, and assets of WM and The Funds;
- B. provide an independent review of WM's and The Funds' finances and operations, including the identification and location of assets, and WM's and The Funds' liquidity, solvency and ability to fund the receivership and return assets.
- C. prepare an independent accounting of those assets; and
- D. make recommendations as to maximizing and preserving the value of WM's and The Funds' assets for the benefit of the investors and creditors and propose a plan of distribution for approval by the Court to effect the equitable distribution of The Funds' assets.

The orders entered today do not affect your personal, segregated customer accounts with WM, including those custodied at Charles Schwab & Co., Inc., to the extent they do not include investments in The Funds, and you remain free to direct the disposition of those accounts.

If you have any questions, you may contact me at receiver@quarles.com, or by leaving a voicemail message at 414-277-5496, and we will get back to you.

Faye Feinstein
Court Appointed Receiver
On Behalf of
WM and The Funds

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WISCONSIN
GREEN BAY DIVISION**

SECURITIES AND EXCHANGE COMMISSION,

:
Plaintiff,

v.

Civil Action No: 09-C-506

**WEALTH MANAGEMENT LLC;
JAMES PUTMAN; and SIMONE FEVOLA,**

Defendants, and

**WML GRYPHON FUND LLC;
WML WATCH STONE PARTNERS, L.P.; WML
PANTERA PARTNERS, L.P.; WML PALISADE
PARTNERS, L.P.; WML L3, LLC; and WML
QUETZAL PARTNERS, L.P.,**

Relief Defendants.

PRELIMINARY INJUNCTION ORDER

Before the Court is the Agreed Motion for a Preliminary Injunction Order and Other Ancillary Orders against defendants Wealth Management LLC (“WM”) and James Putman (“Putman”), and relief defendants WML Gryphon Fund LLC, WML Watch Stone Partners, L.P., WML Pantera Partners, L.P., WML Palisade Partners, L.P., WML L3 LLC, and WML Quetzal Partners, L.P. (collectively with the other funds listed above, the “WM Funds” or “Relief Defendants”). The Court has considered this motion and having previously considered the SEC’s TRO motion, the memorandum of law in support the motion, all of the declarations, exhibits and

other documents filed in connection therewith, has read the complaint, and considered the arguments of counsel for all parties, and having been fully advised in the premises, finds:

- (1) That this Court has jurisdiction over the subject matter of this case and there is good cause to believe it will have jurisdiction over all parties hereto.
- (2) That the SEC has made a sufficient and proper showing in support of the relief granted by presenting a *prima facie* case of securities laws violations by Defendants WM, Putman, and Simone Fevola ("Fevola") as alleged in the SEC's Complaint.
- (3) That there is good cause to believe that the SEC will ultimately succeed in establishing said violations.
- (4) The Court finds good cause to believe that, unless preliminarily enjoined by Order of this Court, Defendants WM and Putman may continue to violate the federal securities laws and may dissipate, conceal or transfer assets which could be subject to an Order of Disgorgement or Civil Penalties.

Accordingly, the Court hereby orders as follows:

I.

PRELIMINARY INJUNCTION

IT IS HEREBY ORDERED that, pending determination of the SEC's request for a Preliminary Injunction, the **Defendants WM and Putman**, and their agents, servants, employees, attorneys, and those persons in active concert or participation with them, and each of them who receive actual notice of this Order by any manner permitted by the Order, are hereby preliminarily enjoined from violating, directly or indirectly, Section 17(a) of the Securities Act of 1933 ("Securities Act") [15 U.S.C. § 77q(a)] Section 10(b) of the Securities Exchange Act of 1934 [15 U.S.C. § 78j(b)], and Rule 10b-5 promulgated thereunder [17 CFR § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IT IS FURTHER ORDERED that the **Defendants WM and Putman**, and their agents, servants, employees, attorneys, and those persons in active concert or participation with them, and each of them who receive actual notice of this Order by any manner permitted by the Order, are hereby preliminarily enjoined from violating, directly or indirectly, Sections 206(1), 206(2) and 206(4) of the Investment Advisers Act of 1940 (“Advisers Act”) [15 U.S.C. § 80b-6(1), 80b-6(2) and 80b-6(4)], and Rule 206(4)-8 promulgated thereunder [17 C.F.R. 275.206(4)-8].

IT IS FURTHER ORDERED that **Defendant WM** and its agents, servants, employees, attorneys, and those persons in active concert or participation with them, and each of them who receive actual notice of this Temporary Restraining Order and Order For Emergency Relief (“Order”) by any manner permitted by the Order, are hereby preliminarily enjoined from violating, directly or indirectly, Section 207 of the Advisers Act [15 U.S.C. § 80b-7].

II.

FIRST MODIFIED ORDER APPOINTING RECEIVER

IT IS FURTHER ORDERED that Faye Feinstein shall continue to act as Receiver over **Defendant WM and each of the Relief Defendants the WM Funds**, in accordance with the First

Modified Order Appointing Receiver entered contemporaneously with this Preliminary Injunction Order.

IT IS FURTHER ORDERED that **Defendants WM and Putman and Relief Defendants the WM Funds**, and their agents, servants, employees, attorneys, and those persons in active concert or participation with them, shall cooperate with the Receiver to the fullest extent possible.

III.

ORDER EXTENDING ASSET FREEZE

IT IS FURTHER ORDERED that the Asset Freeze Order entered by the Court on May 20, 2009 shall be extended pursuant to the terms of the Order Extending Asset Freeze entered contemporaneously with this Preliminary Injunction Order.

IV.

RECORDS PRESERVATION

IT IS FURTHER ORDERED that **Defendants WM and Putman, and Relief Defendants the WM Funds**, and all of their officers, agents, servants, employees, attorneys, depositories, banks, and those persons in active concert or participation with any one or more of them, and each of them, be and they hereby are restrained and enjoined from, directly or indirectly, destroying, mutilating, concealing, altering, disposing of, or otherwise rendering illegible in any manner, any of the books, records, documents, correspondence, ledgers, accounts, financial transactions, statements, files and other property, wherever located of or pertaining: (a) in any way to any matters described in the Complaint filed by the SEC in this action and wherever located; or (b) relating to Putman's personal current or former assets or liabilities, or relating to any other business or personal financial dealings since January 1, 2006, until further order of this Court.

V.

SERVICE AND NOTICE

IT IS FURTHER ORDERED that service of any notices or other documents required or permitted by this Order may be accomplished by any means, including, but not limited to fax, email, overnight courier, personal delivery, or U.S. mail.

VI.

PRESERVATION OF RIGHTS AND PRIVILEGES

IT IS FURTHER ORDERED that nothing in the Preliminary Injunction Order shall be construed to require that Defendants WM and Putman or Relief Defendants the WM Funds abandon or waive any constitutional or other legal privilege which they may have available to them.

VII.

DURATION OF ORDER

This Order shall remain in full force and effect until further Order of the Court.

SO ORDERED this 26th day of May, 2009.

s/ William C. Griesbach

William C. Griesbach

U.S. District Judge

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WISCONSIN
GREEN BAY DIVISION**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

Civil Action No: 09-C-506

**WEALTH MANAGEMENT LLC;
JAMES PUTMAN; and SIMONE FEVOLA,**

Defendants, and

**WML GRYPHON FUND LLC;
WML WATCH STONE PARTNERS, L.P.; WML
PANTERA PARTNERS, L.P.; WML PALISADE
PARTNERS, L.P.; WML L3, LLC; and WML
QUETZAL PARTNERS, L.P.,**

Relief Defendants.

FIRST MODIFIED ORDER APPOINTING RECEIVER

Before the Court is the Agreed Motion for a Permanent Injunction Order and Other Relief Against defendants Wealth Management LLC (“WM”) and James Putman (“Putman”), and relief defendants WML Gryphon Fund LLC, WML Watch Stone Partners, L.P., WML Pantera Partners, L.P., WML Palisade Partners, L.P., WML L3 LLC, and WML Quetzal Partners, L.P. (collectively with the other funds listed above, the “WM Funds” or “Relief Defendants”).

This Court having granted the SEC’s motion and having entered a Preliminary Injunction Order against all WM, Putman and the Relief Defendants, and an Order Extending Asset Freeze

Order as to defendant WM and the Relief Defendants, and the Court having previously entered an Order Appointing Receiver;

This Court also having reviewed all of the submissions of the SEC in connection with its emergency motion for a TRO, and having conducted a hearing on such motion, and having reviewed the instant motion and also having conducted a hearing concerning the instant motion;

And the Court being fully advised in the premises;

The Court finds that a proper showing has been made for the relief granted herein, for the following reasons:

1. Plaintiff has established a *prima facie* case that Defendant WM and its principals James Putman ("Putman") and Simone Fevola ("Fevola") have engaged in the securities law violations alleged in the complaint.
2. It appears that WM, Putman and Fevola misused and dissipated assets that rightfully belong to WM's advisory clients and the WM Funds' investors; and made fraudulent and misleading statements and material omissions to its clients and investors concerning their investments in the WM Funds, as well as Putman and Fevola's receipt of kickback payments derived from some of the WM Funds' investments.
3. Due in part to Defendant WM's, Putman's and Fevola's misconduct, it appears that WM and the WM Funds are in a precarious financial condition and some or all of their clients or investors have suffered and may suffer additional financial losses.
4. The appointment of a receiver is necessary to: (a) preserve the status quo; (b) ascertain the rightful ownership interests in certain assets currently within the possession, custody and control of WM and the WM Funds, and the disposition of client funds; (c) prevent the further misappropriation or misuse of the property and assets held by WM and the WM Funds on behalf of their clients or investors; (d) prevent the encumbrance or disposal of property or assets rightfully belonging to WM clients and WM Funds investors; (e) provide for continuity of operation and liquidity concerning WM's services to its advisory clients with segregated accounts and administer WM's business affairs with respect to those accounts; (f) ascertain the financial viability of WM; and (g) provide and implement a plan for recovering investments on behalf of the WM Funds and liquidating all WM Fund investments, and for the equitable distribution of WM Funds' assets to their investors.

I.

[Appointment]

NOW, THEREFORE, IT IS ORDERED, ADJUDGED, AND DECREED that Faye Feinstein is hereby appointed the Receiver for WM and the WM Funds, its subsidiaries, successors and assigns until otherwise ordered by the Court. The Receiver is empowered and instructed to perform the following general tasks, as set forth in greater detail herein:

- A. administer and manage the business affairs, funds, assets, choses in action and any other property of WM and each of the WM Funds;
- B. engage in an independent inquiry concerning WM's and each of the WM Funds' finances and operations, including the identification and location of all assets held or under management;
- C. prepare an independent accounting of WM and WM Funds' assets, including the accounting and identification of assets required in the TRO issued on this date; and
- D. Prepare a Report and Recommendation, as described in Section III below.

II.

[Legal Powers to Conduct Business Affairs]

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the Receiver shall take control of WM's and the WM Funds' operations, funds, assets and property wherever situated, with the powers set forth herein, including powers over all funds, assets, premises (whether owned, leased, occupied, or otherwise controlled), choses in action, books, records, and other property belonging to or in the possession of or control of WM and the WM Funds, and the Receiver is hereby authorized, empowered, and directed:

- A. to have access to and take control of all funds, assets, premises (whether owned, leased, occupied or otherwise controlled), choses in action, books, records, papers, and other property of WM and the WM Funds -- including assets and funds within WM's and the

WM Funds' possession, custody or control that are held for the benefit of clients or investors -- with full power to monitor and approve each transaction, disbursement or receipt of funds, or any other disposition relating to such funds, assets or property, and with full power to take such steps as he deems necessary to secure such premises, funds and property;

- B. to have control of, and to be added as an authorized signatory for, all accounts of WM and any of the WM Funds at any bank, brokerage firm or financial institution which has possession, custody or control of any assets or funds of WM or any of the WM Funds, or assets or funds within the possession, custody or control of WM or the WM Funds that are held for the benefit of clients or investors, wherever situated;
- C. to take such action as is necessary and appropriate to preserve and take control of, and to prevent the dissipation, concealment, or disposition of any assets of WM and the WM Funds, or assets within the possession, custody or control of WM and the WM Funds that are held for the benefit of clients or investors;
- D. to make or authorize such payments and disbursements from the funds and assets taken into control, or thereafter received by her, and to incur, or authorize the incurrence of, such expenses and make, or authorize the making of, such agreements as may be reasonable, necessary and advisable in discharging her duties as Receiver;
- E. to engage and employ persons in her discretion to assist her in carrying out her duties and responsibilities hereunder, including, but not limited to, lawyers, accountants, and investment advisers; and

III.

[Investigation and Report]

IT IS FURTHER ORDERED AND DECREED that, in connection with this appointment, the Receiver shall prepare and submit to the Court and the parties, within 21 days after her initial appointment, a report setting forth her findings as to the financial status of WM and its viability as a going concern. The Receiver shall also begin the process of investigating the following, and her report shall include her progress during such 21 day period in connection therewith, and her related recommendations:

- A. The assets and liabilities of WM and the WM Funds as of the date of this Order;

- B. Tracing the flow of funds between WM and the WM Funds and all clients, including Putman and Fevola or any of their family members, or any accounts over any of them have custody, control or any beneficial interest;
- C. For each client of WM, identifying and determining:
 - a. the net contribution amount made by each WM client into each WM Fund;
 - b. the current stated value of each such WM client's assets in each such Fund;
 - c. all assets placed under WM's management that are not invested in the WM Funds;
- D. For each of the WM Funds, identifying and determining:
 - a. The location of the WM Funds' assets;
 - b. The historical sources and uses of WM Funds' assets;
 - c. The stated values of each of the WM Funds' investments; and
 - d. A range of values for the actual values of the WM Funds' investments.
- E. Ascertaining the rightful ownership interests of assets currently within the possession, custody and control of WM;
- F. A description of all operations of WM and the identity of all persons involved in such operations and the nature of their involvement;
- G. Findings assessing WM's and the WM Funds' solvency, liquidity and ability to return all assets placed with them by all clients of WM and investors in the WM Funds;
- H. Findings assessing WM's and the WM Funds' ability to continue to fund the Receivership;
- I. Recommendations concerning the maximization of WM's and the WM Funds' value and the preservation of their assets pending the earlier of liquidation of all assets to clients and investors, or resolution of this lawsuit;
- J. Recommendations concerning the future management of WM and the WM Funds, including, but not limited to, whether Putman should be permitted to retain any control or management authority over WM or the WM Funds during the pendency of this lawsuit; and
- K. Recommendations concerning the continuation, if at all, of the Receivership.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Wealth Management may file with the Court, within two weeks of receipt of the Receiver's report and recommendations, objections to the Receiver's report and recommendations and/or alternative recommendations.

IV.

[Obligations of Others to Receiver]

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that, in connection with the appointment of the Receiver provided for above:

- A. WM and the WM Funds, and their officers, agents, servants, employees, attorneys-in-fact, shareholders, and other persons who are in custody, possession, or control of any assets, books, records, or other property of them, or of assets or funds within their possession, custody or control that are held for the benefit of clients or investors, shall forthwith give access to and control of such property to the Receiver, and shall forthwith grant to the Receiver authorization to be a signatory as to all accounts at banks, brokerage firms or financial institutions which have possession, custody or control of any assets or funds in the name of or for the benefit of WM and the WM funds and/or their clients or investors;
- B. All banks, brokerage firms, financial institutions, and other business entities which have possession, custody or control of any assets, funds or accounts in the name of or for the benefit of WM and the WM Funds and/or any of their clients or investors shall cooperate expeditiously in the granting of control and authorization as a necessary signatory as to said assets and accounts to the Receiver;
- C. Unless and as authorized by the Receiver, Defendants shall not take any action, or purport to take any action, in the name of or on behalf of WM or any of the WM Funds;
- D. WM and the WM Funds, and their respective officers, agents, servants, employees, and attorneys-in-fact, shall cooperate with and assist the Receiver, including, if deemed necessary by the Receiver, by appearing for deposition testimony and producing documents, upon two days' faxed notice, and shall take no action, directly or indirectly, to hinder, obstruct, or otherwise interfere with the Receiver in the conduct of his or her duties or to interfere in any manner, directly or indirectly, with the custody, possession, management, or control by the Receiver of the funds, assets, premises, and choses in action described above;

- E. WM and the WM Funds shall be responsible for paying the costs, fees and expenses of the Receiver incurred in connection with the performance of his or her duties described herein, including the costs and expenses of those persons who may be engaged or employed by the Receiver to assist her in carrying out her duties and obligations hereunder. All applications for costs, fees and expenses for services rendered in connection with the Receiver shall be made by application setting forth in reasonable detail the nature of the services and shall be heard by the Court;
- F. No bond shall be required in connection with the appointment of the Receiver. Except for her gross negligence, the Receiver shall not be liable for any loss or damage incurred by WM or the WM Funds, or their officers, agents or employees, or any other person, by reason of any act performed or omitted to be performed by the Receiver or any of her agents or employees in connection with the discharge of her duties and responsibilities hereunder.

V.

[Access to Books and Records]

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that, during the period of her appointment, the Receiver shall have continuing access to inspect or copy any or all of the corporate books and records and other documents of WM and the WM Funds, and continuing access to inspect the funds, property and assets of WM and the WM Funds and any assets or funds within the possession, custody or control of WM and the WM Funds that are held for the benefit of clients or investors, wherever they may be located.

VI.

[Compensation, Cap on Payments]

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the Receiver, and any agent utilized by the Receiver, are entitled to reasonable compensation from the assets now held by or in the possession or control of or which may be received by Receiver; said amounts of compensation shall be commensurate with their duties and obligations under the circumstances,

subject to the approval of the Court; provided, however, that the Receiver and her attorneys and advisors may not receive compensation exceeding \$200,000 collectively during the initial 21 days of her appointment without the prior approval of the Court. The fees may be paid to the Receiver, upon approval of the Court, from any bank account in the name of WM or the WM Funds, or any account designated by the Court.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the Receiver shall promptly provide notice to the SEC and Wealth Management after it has incurred \$100,000 in fees and expenses.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the Receiver will exercise best efforts to control costs, including use of Wealth Management's employees where practicable.

VII.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the Court shall retain jurisdiction of this matter for all purposes.

SO ORDERED this 26th day of May, 2009.

s/ William C. Griesbach
William C. Griesbach
U.S. District Judge

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WISCONSIN
GREEN BAY DIVISION**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

Civil Action No: 09-C-506

**WEALTH MANAGEMENT LLC;
JAMES PUTMAN; and SIMONE FEVOLA,**

Defendants, and

**WML GRYPHON FUND LLC;
WML WATCH STONE PARTNERS, L.P.; WML
PANTERA PARTNERS, L.P.; WML PALISADE
PARTNERS, L.P.; WML L3, LLC; and WML
QUETZAL PARTNERS, L.P.,**

Relief Defendants.

ORDER EXTENDING ASSET FREEZE

This cause coming before the Court upon the parties agreed motion for entry of a permanent injunction and other relief against defendants Wealth Management LLC ("WM"), and relief defendants WML Gryphon Fund LLC, WML Watch Stone Partners, L.P., WML Pantera Partners, L.P., WML Palisade Partners, L.P., WML L3 LLC, and WML Quetzal Partners, L.P. (collectively with the other funds listed above, the "WM Funds" or "Relief Defendants"). The Court has considered, this motion, the SEC's TRO motion, the memorandum of law in support the motion, and all of the declarations, exhibits and other documents filed in connection therewith, has read the

complaint, and considered the arguments of counsel for all parties, and having been fully advised in the premises, finds:

- (1) That this Court has jurisdiction over the subject matter of this case and there is good cause to believe it will have jurisdiction over all parties hereto.
- (2) That the SEC has made a sufficient and proper showing in support of the relief granted by presenting a *prima facie* case of securities laws violations by the Defendants as alleged in the SEC's TRO motion. There is good cause to believe that the SEC will ultimately succeed in establishing said violations.
- (3) That as a result of the Defendants' securities law violations, the Relief Defendants, the WM Funds, collectively obtained monies from investors throughout the period May 2003 through August 2008.
- (4) That as a result of the Defendants' securities law violations, Defendant WM has obtained payments from the WM Funds throughout the approximate period of May 2003 through April 2009.
- (5) That Defendants may attempt to dissipate or transfer from the jurisdiction of this Courts funds, property, and other assets that could be subject to an order of disgorgement or civil penalties pursuant to Section 20(d) of the Securities Act of 1933 [15 U.S.C. § 77t(d)], Section 21(d) of the Securities Exchange Act of 1934 [15 U.S.C. § 78u(d)], and Section 209(e) of the Investment Advisers Act of 1940 [15 U.S.C. § 80b- 9(e)].
- (6) That an order freezing assets in necessary to preserve the *status quo* and to protect this Court's ability to award equitable relief.

NOW, THEREFORE,

I.

IT IS HEREBY ORDERED that, **Defendant WM, and Relief Defendants the WM Funds**, and their agents, servants, employees, attorneys, and those persons in active concert or participation with them (including without limitation banks or brokerages), and each of them who receive actual notice of this Order Freezing Assets ("Asset Freeze Order") by any means permitted by this Asset Freeze Order, hold and retain within their control, and otherwise prevent any

withdrawal, transfer, pledge, encumbrance, assignment, dissipation, concealment, or other disposal of any corporate, partnership, or funds, or other properties (including money, real or personal property, securities, chose in action or property of any kind whatsoever) of Defendant WM or Relief Defendants the WM Funds currently held by them or under their control, whether held in any of their names or for any of their direct or indirect beneficial interest wherever situated, and directing each of the financial or brokerage institutions, debtors, and bailees, or any other person or entity holding such assets, funds, or other properties of Defendant WM or Relief Defendants the WM Funds, to hold or retain with its control and prohibit the withdrawal, removal, transfer, or other disposal of any such assets, funds, or other properties; provided, however, that this order shall not apply to segregated, individual accounts of advisory clients (other than the WM Funds) which WM manages for those clients in the name of those clients, including without limitation accounts held at Charles Schwab & Co., Inc.

II.

IT IS FURTHER ORDERED that this Asset Freeze shall not apply to the court-appointed Receiver in this case, Faye Feinstein. The Receiver shall have authority to direct the disbursement of funds and other assets. Financial institutions and other custodians of assets otherwise subject to this Order shall honor any requests by the Receiver for the disbursement of such assets.

III.

IT IS FURTHER ORDERED that this Asset Freeze Order shall remain in force until a final adjudication on the merits may be had, unless earlier modified or terminated by order of this Court;

IV.

IT IS FURTHER ORDERED that service of any notices or other documents required or permitted by this order may be accomplished by any means, including, but not limited to fax, email, overnight courier, personal delivery, or U.S. mail.

V.

IT IS FURTHER ORDERED that nothing in this Asset Freeze Order shall be construed to require that Defendants or Relief Defendants abandon or waive any constitutional or other legal privilege which they may have available to them.

SO ORDERED this 26th day of May, 2009

s/ William C. Griesbach
WILLIAM C. GRIESBACH
U.S. DISTRICT COURT JUDGE