

U.S. DISTRICT COURT
EASTERN DISTRICT-WI
GREEN BAY DIV.

Objection to Proposed Plan of Distribution by Receiver

I specifically object to the Receiver's Proposed Plan of Distribution to the extent that distributions would be made to me with respect to my investments in the WM Funds. **FILED NOV 2 10 20**


The basis for the Receiver's Proposed Plan that no distributions would be made to me on the sole basis of the allegations made by the SEC in its complaint. **FILED NOV 2 10 20
JON W. SANFILIPPO
MAIL-REC'D**

I have filed an answer denying each of those allegations and intend to present proof in response to each of those allegations.

Unless and until a final judicial determination has been made that I violated the laws as alleged by the SEC, I still have ownership rights under the laws of the state of Wisconsin and the U.S. Constitution in the investments which I made into the WM Funds. Even if a final judicial determination is made against me in this case, such a determination cannot under the laws of the state of Wisconsin and the U.S. Constitution eliminate my property rights in the investments I have made in the WM Funds.

Until the issues are resolved, whatever value I have in the WM Funds may be held in escrow by the Receiver.

Dated: September 29, 2009


James E. Putman